

# Introduction to Graphs

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*Fundamental Data Structures and Algorithms*

Prof. S.G.Gollagi

# Announcements

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- HW 6 is about to be released.  
Start asap.

- Reading: Chapter 14 in MAW.

There are quite few definitions there,  
make sure you understand the ideas and  
concepts.

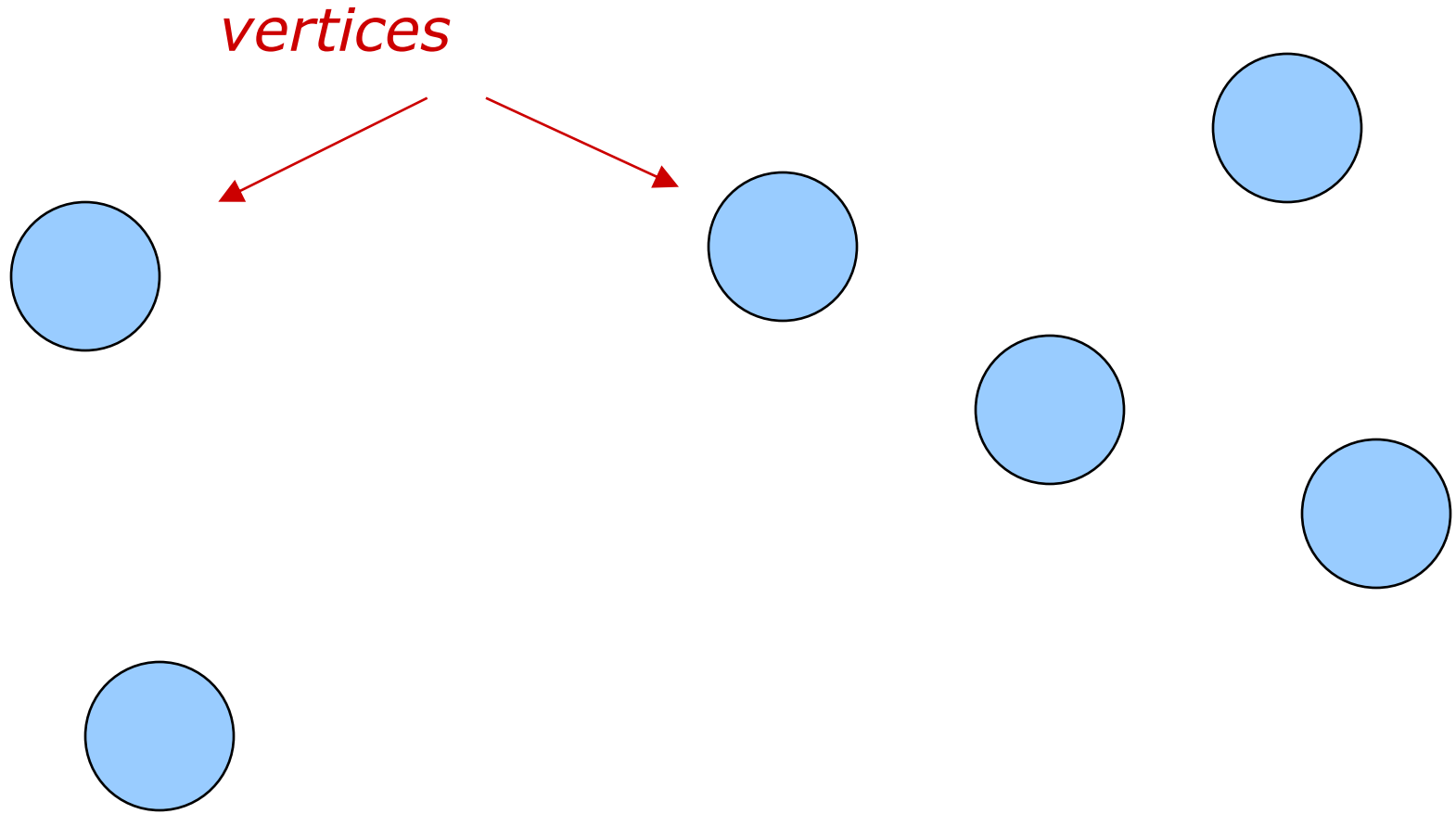
- Extra credit: Write a one-page essay about  
roving eyeballs.

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# *Introduction to Graphs*

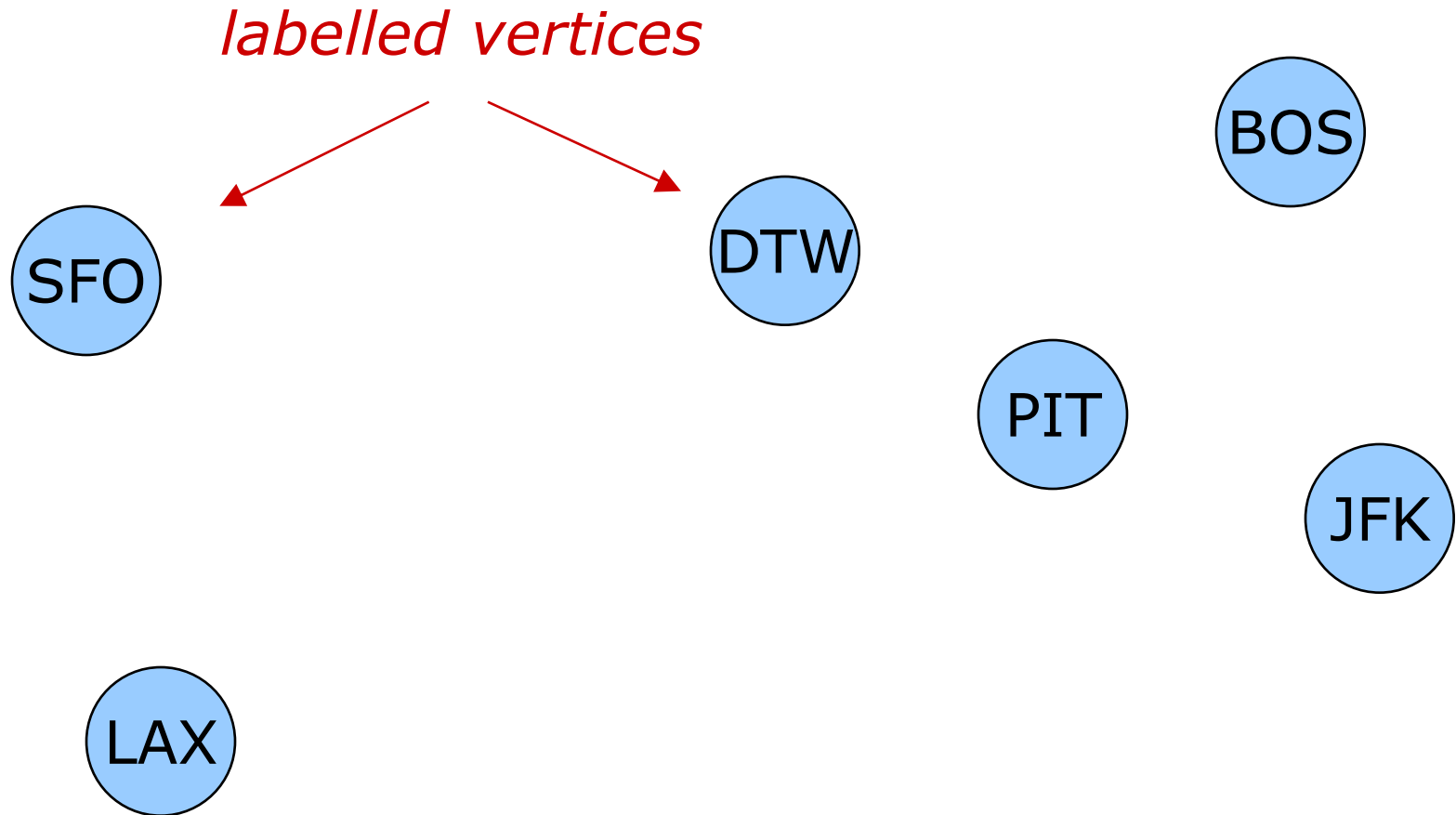
# Graphs — an overview

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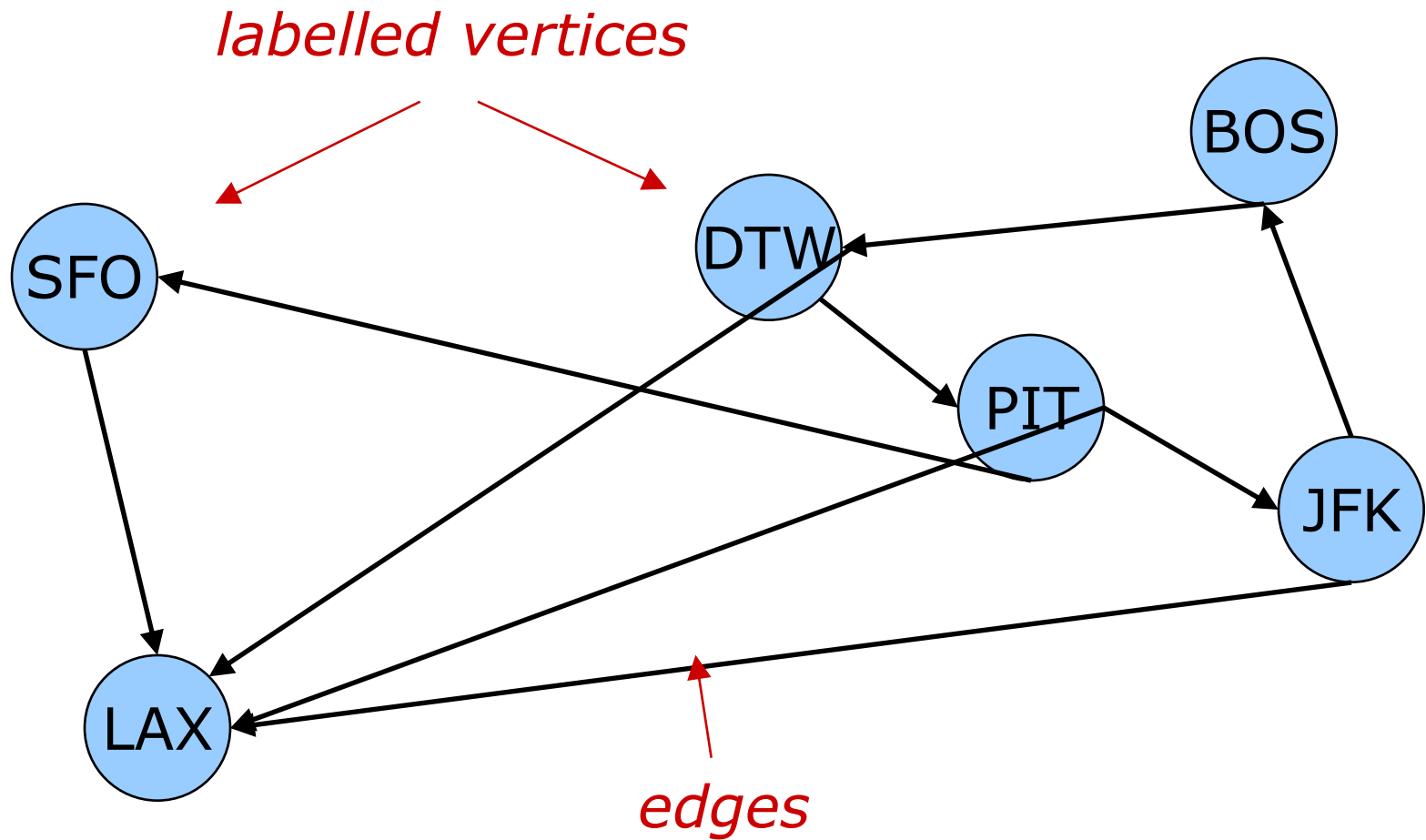
# Graphs — an overview

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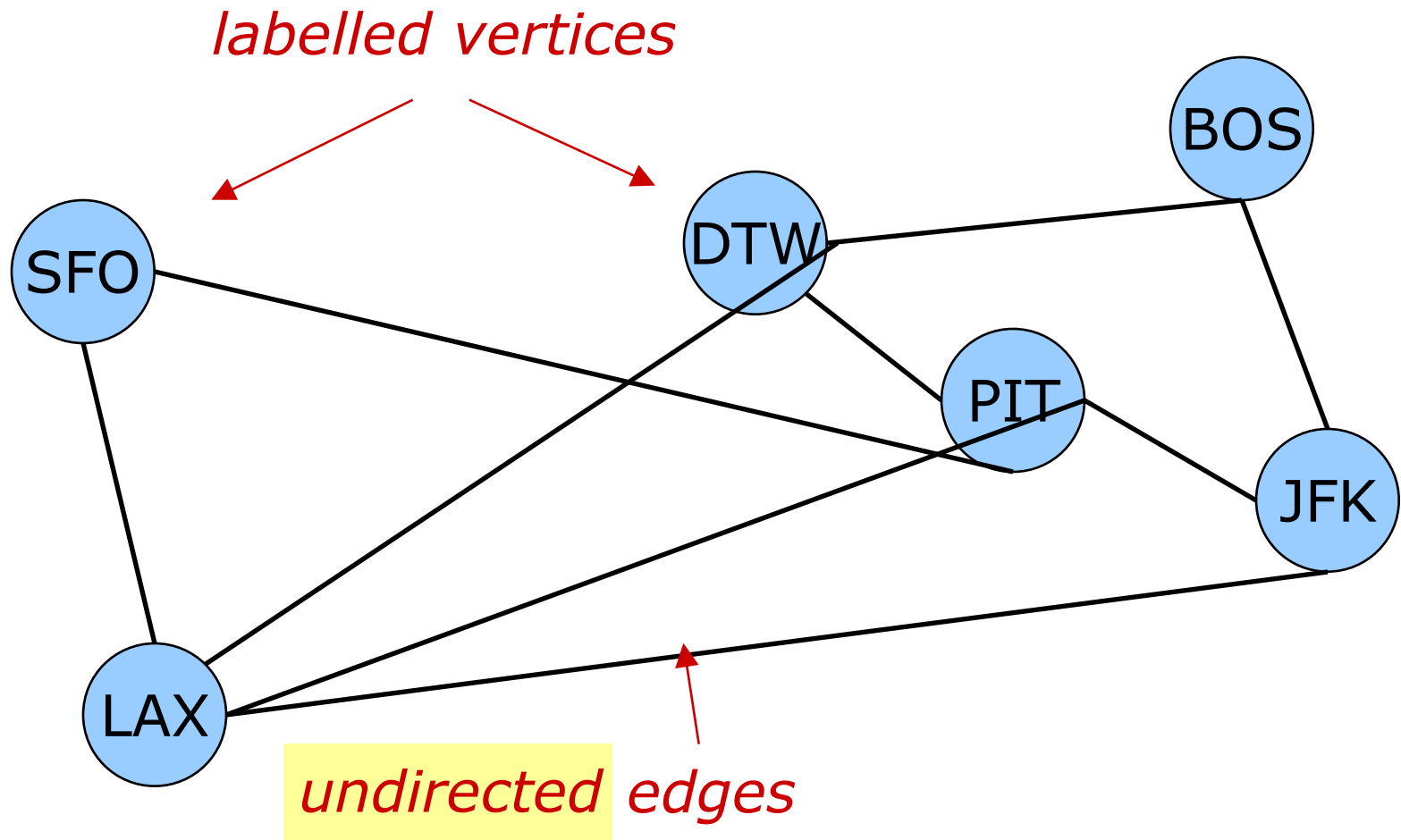
# Graphs — an overview

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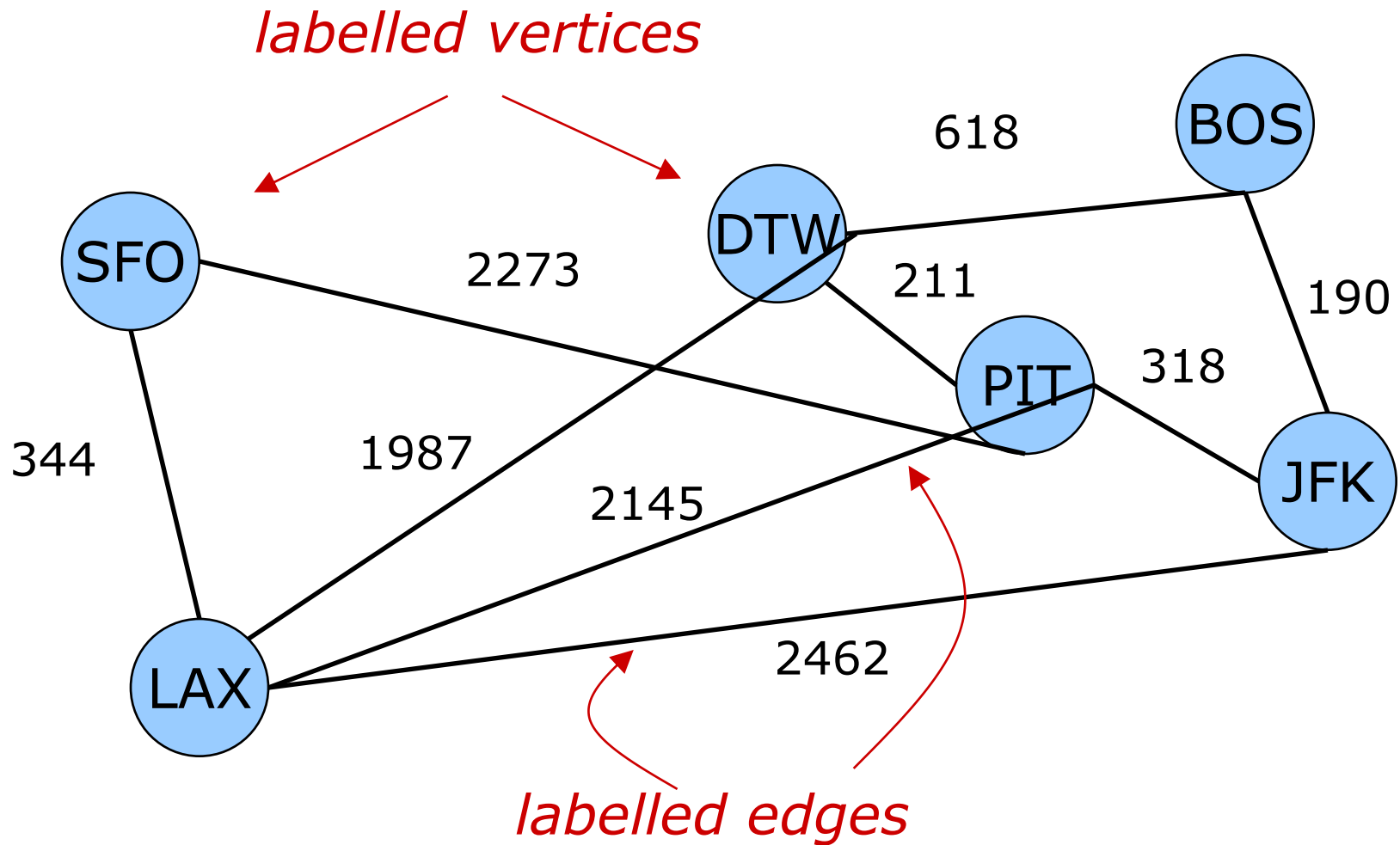
# Graphs — an overview

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# Graphs — an overview

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# Terminology

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- vertices (aka nodes, points)
- edges (aka arcs, lines)  
directed or undirected (digraphs and ugraphs)  
multiple or single  
loops
- vertex labels
- edge labels

$$G = (V,E) \quad \text{or} \quad G = (V,E,lab)$$

# Edges

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- directed  $(x,y)$  or just  $xy$

$x$  is the **source** and  $y$  the **target** of the edge

- undirected  $\{x,y\}$  or just  $xy$

Note that  $\{x,x\}$  means: undirected loop at  $x$ .

- edge is **incident** upon vertex  $x$  and  $y$

# Degrees

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- directed

  - out-degree of  $x$ : the number of edges  $(x,y)$

  - in-degree of  $x$ : the number of edges  $(y,x)$

  - degree: sum of in-degree and out-degree

- undirected

  - degree of  $x$ : the number of edges  $\{x,y\}$

Degrees are often important in determining the running time of an algorithm.

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*Graphs are Everywhere*

# Examples

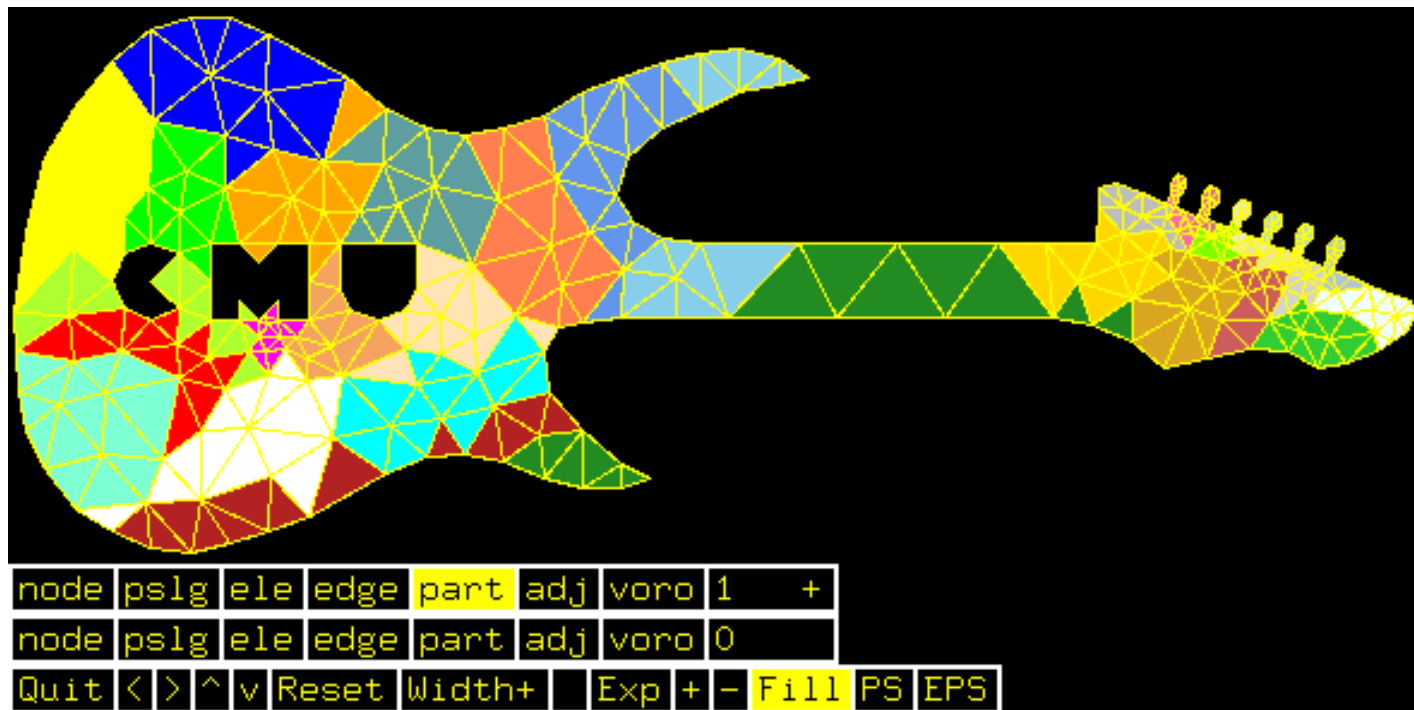
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- Roadmaps
- Communication networks
- WWW
- Electrical circuits
- Task schedules

# Graphs as models

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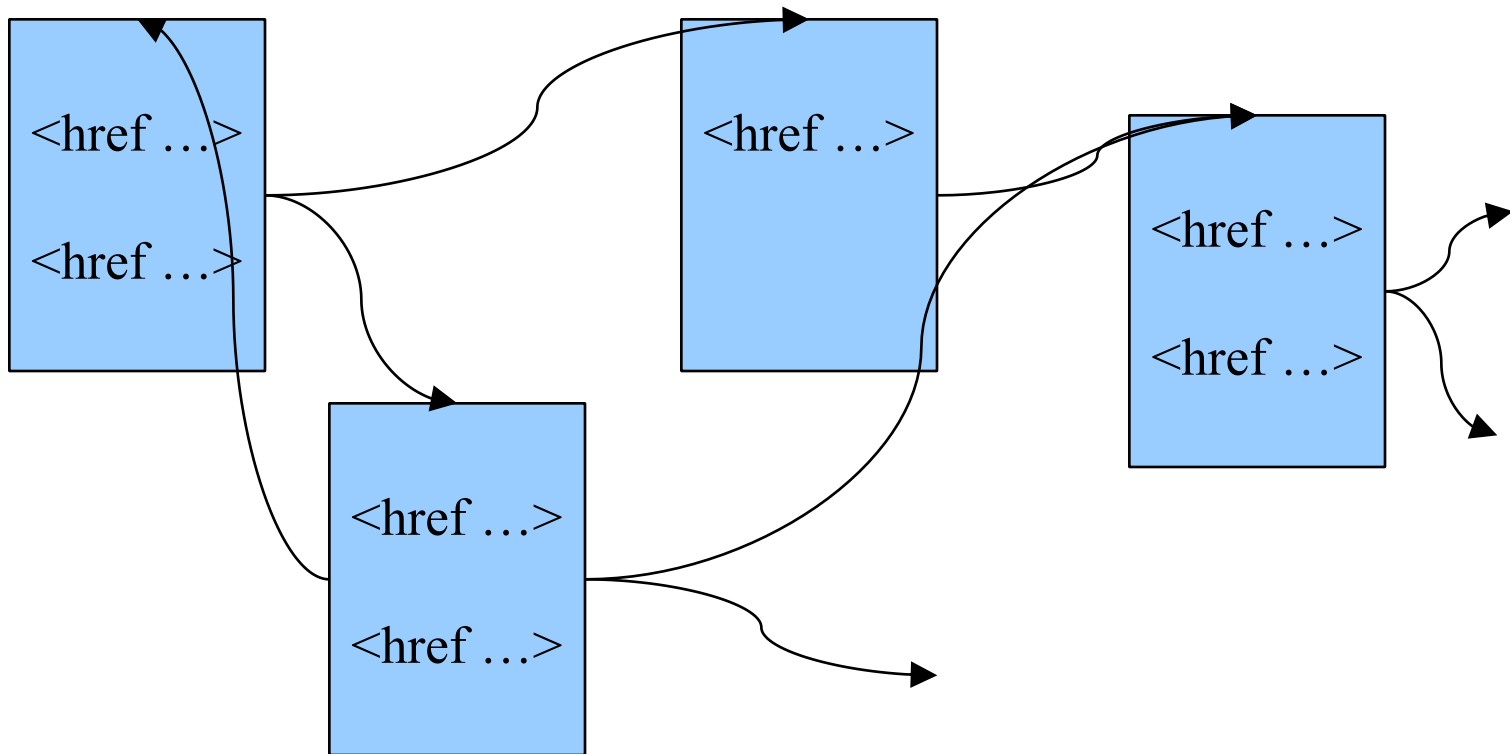
- Physical objects are often modeled by meshes, which are a particular kind of graph structure.



By Jonathan Shewchuk

# Web Graph

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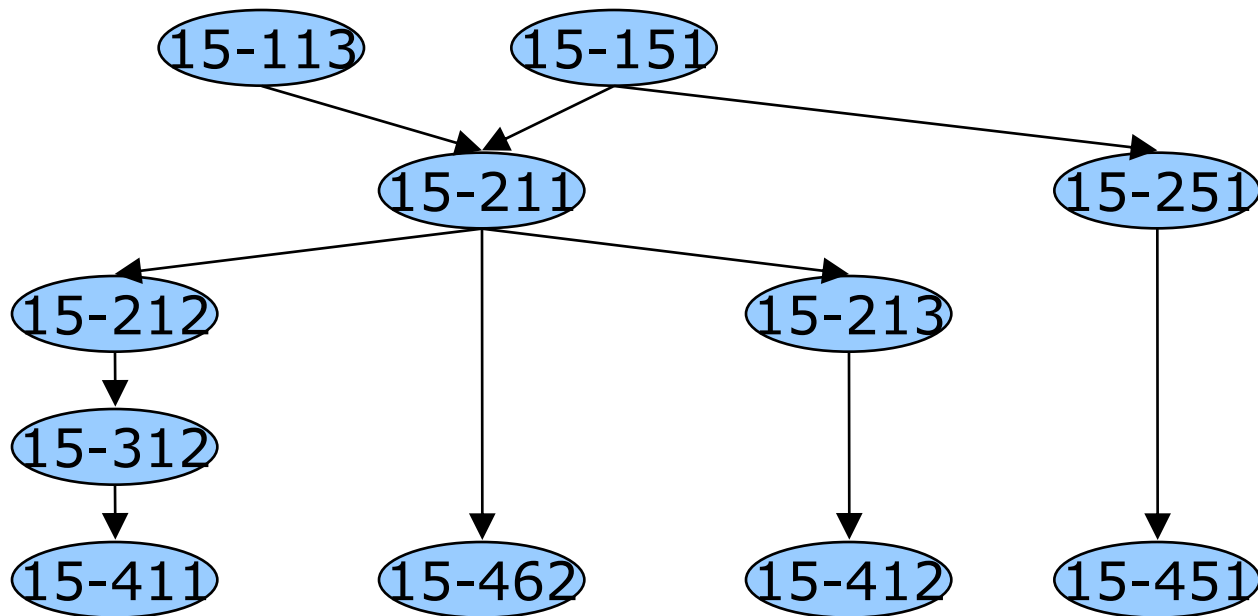


- Web Pages are nodes (vertices)
- HTML references are links (edges)

# Relationship graphs

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- Graphs are also used to model *relationships* among entities.
  - Scheduling and resource constraints.
  - Inheritance hierarchies.





# More Generally

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Suppose we have a system with a collection of possible configurations. Suppose further that a configuration can change into a next configuration (transition, non-deterministic).

Model by a graph

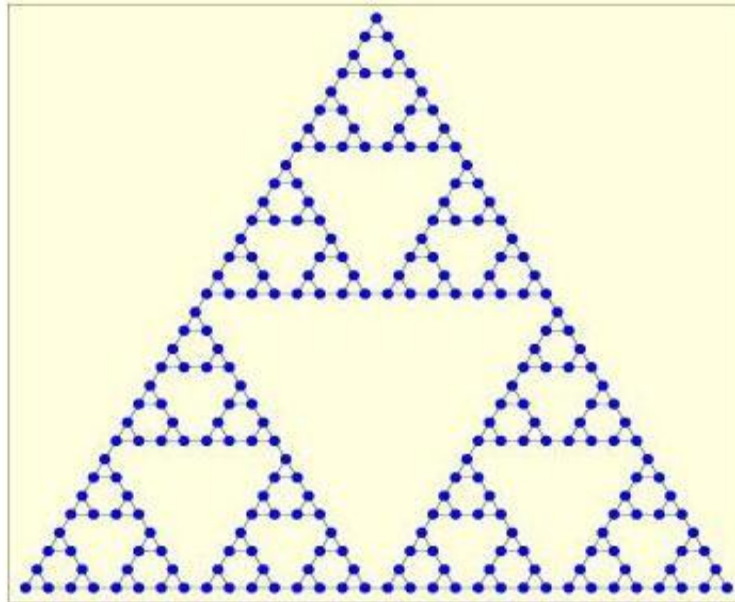
$$G = ( \text{configurations, transitions} )$$

Evolution of the system corresponds to a path in the graph.

# Example: Games

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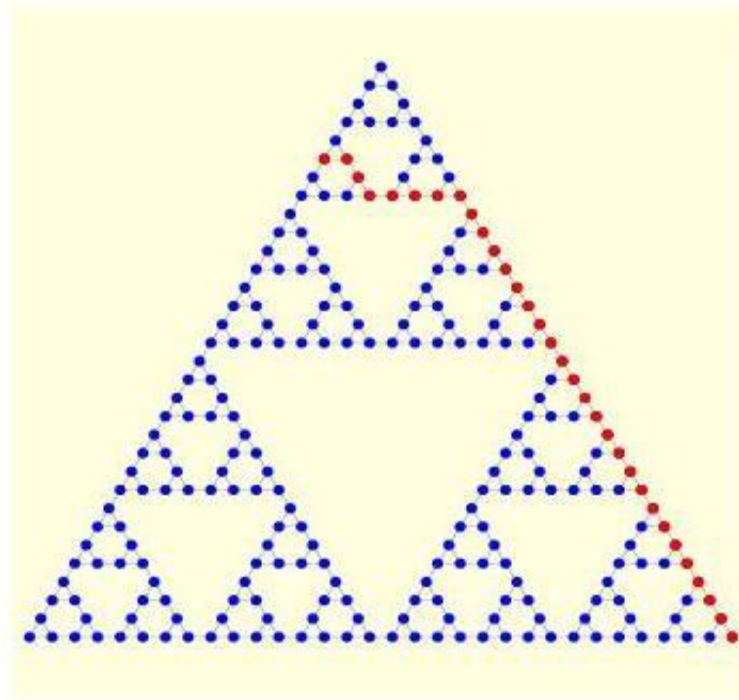
The game of Hanoi with 5 disks corresponds to the following graph:



# Solving a Game

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A solution is just a path in the graph:



# Discrete Math View

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Can think of a graph  $G = (V, E)$  as a **binary relation**  $E$  on  $V$ .

E.g.

$G$  undirected: relation symmetric

$G$  loop-free: relation irreflexive

But this does not address additional labeling and layout information.

# Path Problems

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A **path** from vertex **a** to vertex **b** in a graph  $G$  is a sequence of vertices

$$a = x_0, x_1, x_2, \dots, x_k = b$$

such that  $(x_i, x_{i+1})$  is an edge in  $G$  for  $i = 0, \dots, k-1$ .  
 $k$  is the **length** of the path.

Vertex  $b$  is **reachable** from  $a$  if there is a path from  $a$  to  $b$ .

$R(a)$  is the set of all vertices reachable from  $a$ .

# Distance

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A **distance** from vertex **a** to vertex **b** is the length of the shortest path from a to b (infinity if there is no such path).

If the edges are labeled by a cost (a real number) the length of a path

$$a = x_0, x_1, x_2, \dots, x_k = b$$

is defined to be the sum of the edge-costs  $\text{cost}(x_i, x_{i+1})$  .

So in the unlabeled case each edge is assumed to have cost 1.



# Connectivity

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A graph  $G$  is connected if  $R(a) = V$  for all vertices  $a$ .

For an undirected graph this is equivalent to  $R(a) = V$  for some vertex  $a$ .

A connected component of a ugraph  $G$  is a set  $C$  that is connected (meaning  $R(a) = C$  for all  $a$  in  $C$ ) and that is a maximal such.

For digraphs the situation is more complicated, postpone.



# Typical Graph Problems

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## Connectivity

Given a graph  $G$ , check if  $G$  is connected.

## Connected Components

Given a ugraph  $G$ , compute its connected components.

# Typical Graph Problems

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## Shortest Path

Given a graph  $G$  and vertices  $a$  and  $b$ , find a shortest path from  $a$  to  $b$ .

## Distance

Given a graph  $G$ , compute the distance between any pair of vertices.

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# *Representing Graphs*

# Representing Graphs

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We need a data structure to represent graphs.

Crucial parameters:

$n$  = number of vertices

$e$  = number of edges

Note that  $e$  may be quadratic in  $n$ .

Size of a graph is  $n + e$ .

# Representing Graphs

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Ignoring labels, we may assume that  $V = \{1, 2, \dots, n\}$ .

Need to represent  $E$ .

- Edge lists
- Adjacency lists
- Adjacency matrices
- Succinct representation

# Supporting Operations

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We need to be able to perform operations such as the following:

- insert/delete a vertex
- insert/delete an edge
- check whether  $(x,y)$  is an edge
- given  $x$ , **enumerate** its neighbors  $y$

Enumerating neighbors is crucial in many graph algorithms.

Example: Compute degrees.

# Edge Lists

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A list of pairs  $(x,y)$  of vertices.

May be implemented by an array of pairs.

Size:  $\Theta(e)$

Running time:

edge query ?

neighbor enumeration ?

# Adjacency Lists

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An array  $A$  of size  $n$  of lists of vertices:

$A[x]$  = list of all neighbors of  $x$ .

Size:  $\Theta(n+e)$

Running time:

edge query ?

neighbor enumeration ?



# Adjacency Matrices

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An  $n$  by  $n$  boolean array  $A$ :

$A[x,y] = \text{true}$  iff  $(x,y)$  is an edge.

Size:  $\Theta(n^2)$

Running time:

edge query ?

neighbor enumeration ?

# Adjacency Matrices

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Size alone often rules out the use of adjacency matrices.

But for small graphs very important alternative implementation.

Can exploit bit-parallelism or even special purpose parallel hardware (matrix multiplication).

Also a very nice conceptual tool.

# Succinct Representation

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For large  $n$  one often cannot afford to keep an explicit representation of the adjacencies.

But one may be able to get by with functions:

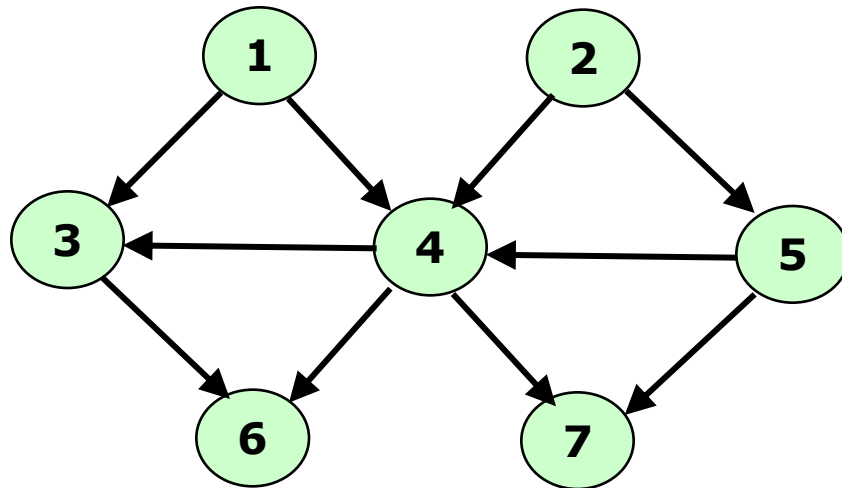
```
boolean edgeQ( vertex x, vertex y )
```

```
VertexList neighbors( vertex x )
```

Typical example: the web graph.

# Example: Edge List

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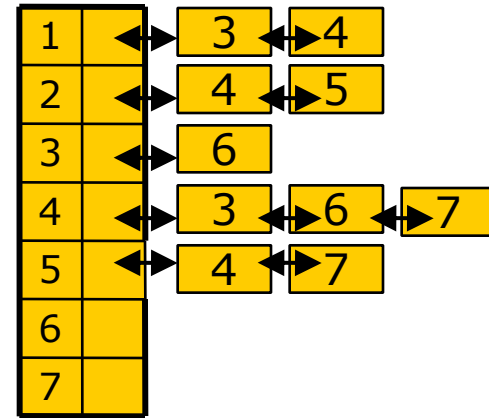
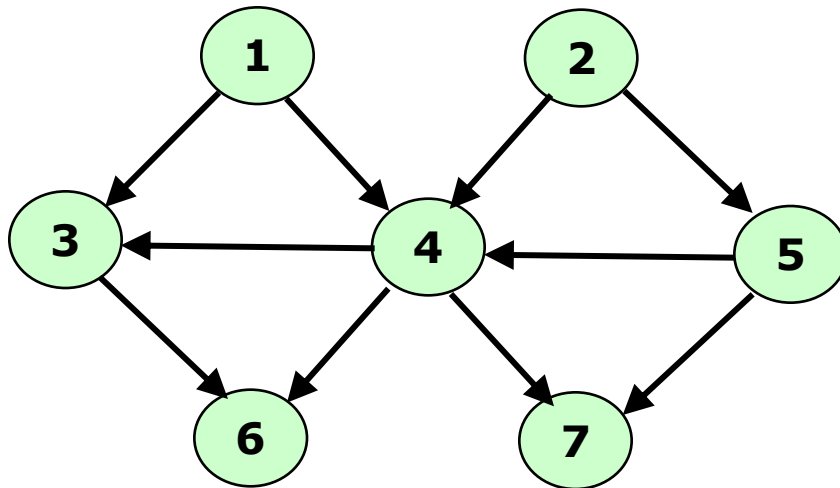


(1,3) (1,4) (2,4) (2,5)  
(2,4)  
(3,6) (4,6) (4,7) (5,4)  
(5,7)

natural order, but could  
be arbitrarily permuted

# Example: Adjacency List

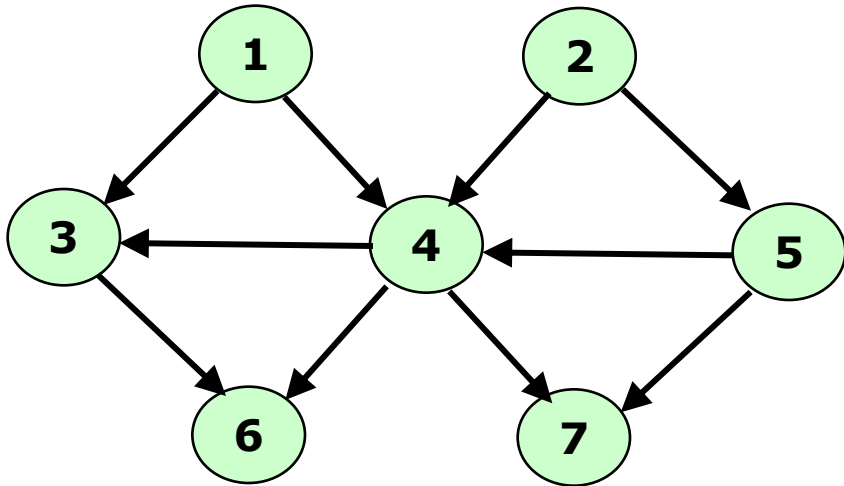
---



natural order, but lists  
could be arbitrarily  
permuted

# Example: Adjacency Matrix

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	1	2	3	4	5	6	7
1			x	x			
2				x	x		
3						x	
4			x			x	x
5				x			x
6							
7							

# Choosing a representation

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- Size of  $V$  relative to size of  $E$  is a primary factor.
  - *Dense*:  $e/n$  is large
  - *Sparse*:  $e/n$  is small
  - *Adjacency matrix is expensive if the graph is sparse.*
  - *Adjacency list is expensive if the graph is dense.*
- Dynamic changes to  $V$ .
  - *Adjacency matrix is expensive to copy/extend if  $V$  is extended.*

# A Connectivity Algorithm

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How do we test whether a given graph  $G$  is connected?

For an undirected graph we can

- pick any vertex  $v$
- compute  $R = R(v)$
- check if  $|R| = n$

In the directed case we can repeat for all  $v$  (there are better algorithms).

Either way, the key problem is to compute  $R(v)$ .



# Inductive Attack

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Note that

- $v$  is in  $R(v)$
- $x$  in  $R(v)$  and  $(x,y)$  an edge implies  $y$  in  $R(v)$

This can be used to construct  $R(v)$  in stages.

Edge  $(x,y)$  **requires attention** if  $x$  is in  $R$  but  $y$  is not.

An edge (that requires attention) is **relaxed** (or **receives attention**) when the missing endpoint is placed into  $R$ .

# A Reachability Algorithm

---

```
R = {v};  
while( some edge (x,y) requires attention )  
    add y to R;    // relax the edge
```

Claim: The algorithm always terminates.

Proof: An edge can receive attention at most once.

So the loop executes at most  $e$  times.

# Correctness

---

```
R = {v};  
while( some edge (x,y) requires attention )  
    add y to R;
```

Claim: Upon completion of the algorithm  $R = R(v)$ .

Proof:

“ $R$  is a subset of  $R(v)$ ” is a loop-invariant.

Suppose  $x$  is in  $R(v)$  but not in  $R$ . Choose one such  $x$  with minimal distance  $d$  from  $v$ . Then there is some vertex  $y$  that is in  $R$  and such that  $(y,x)$  is an edge. But then this edge requires attention, contradiction.

# Efficiency

---

```
R = {v};  
while( some edge (x,y) requires attention )  
    add y to R;
```

We have to specify a way to pick edges that require attention.

Place new vertices into a container (stack or queue) and then check all incident edges.

# Breadth First Search

---

```
bfs( vertex s )
{
    Q.enqueue( s );
    mark s;          // put x into R
    while( !Q.empty() ) {
        x = Q.dequeue();
        forall (x,y) in E do
            if( y not marked ) { // relax edges
                Q.enqueue(y);
                mark y;          // put y into R
            }
        }
    }
}
```

# BFS

---

Correctness is already taken care of.

Efficiency:

Using adjacency lists the for all loop is linear in the number of edges starting at vertex  $x$ .

So total running time is  $O(n+e)$ .

How about edge lists?

How about adjacency matrices?

# BFS and Distance

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BFS uses a queue.

As a consequence, vertices are traversed in order of non-decreasing distance from the starting point and we can easily modify the algorithm to compute distance:

```
dist[v] = 0;
```

```
...
```

```
dist[y] = dist[x] + 1;
```

**Exercise:** Prove that this modification really works.

# Depth First Search

---

```
dfs( vertex x )
{
    mark x;

    forall (x,y) in E do
        if( y not marked )
            dfs( y ); // explore edge
}
```

Stack is hidden via recursion.



# DFS

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Again: correctness taken care of.

Running time is  $O(n+e)$  given adjacency lists.

DFS is a real workhorse: has many variants that solve a number of computational graph theory problems.

# Application: Closure

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Given a binary relation  $S$  on  $\{1, 2, \dots, n\}$ , the transitive reflexive closure  $\text{trc}(S)$  is the least relation  $R$  such that

- $x S y$  implies  $x R y$
- $x R x$  for all  $x$
- $x R y$  and  $y R z$  implies  $x R z$

If we model the relation by a graph,  $\text{trc}(S)$  can be computed by repeated calls to DFS (or BFS).

Good solution if the graph is sparse.

# Warshall's Algorithm

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But when  $S$  is dense one might as well bite the bullet and use a cubic (in  $n$ ) algorithm that has good constants.

Compute a  $n$  by  $n$  by  $n$  boolean matrix  $B$  whose first slice  $B[.,.,0]$  is the adjacency matrix of  $S$  plus diagonal:

```
for( k = 1; k <= n; k++ )
  for( x = 1; x <= n; x++ )
    for( y = 1; y <= n; y++ )
      B[x,y,k] = B[x,y,k-1] ||
                 ( B[x,k,k-1] && B[k,y,k-1] );
```

Upon completion,  $B[.,.,n]$  is the adjacency matrix for

# Warshall's Algorithm

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```
for( k = 1; k <= n; k++ )
for( x = 1; x <= n; x++ )
for( y = 1; y <= n; y++ )
    B[x,y,k] = B[x,y,k-1] ||
                ( B[x,k,k-1] && B[k,y,k-1] );
```

Upon completion,  $B[.,.,n]$  is the adjacency matrix for the transitive reflexive closure of  $S$ .

What is the space complexity of this method?

# Warshall's Algorithm

---

Code is beautifully simple, but correctness is far from obvious.

Claim:  $B[x,y,k] = 1$  iff there is a path from  $x$  to  $y$  using only intermediate vertices in  $\{1,2,\dots,k\}$ .

Proof:

By induction on  $k$ .

Effectively we erase vertices higher than  $k$  and then put them back in.

Example of [dynamic programming](#), more later.